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## MANAGEMENT LIABILITY AND ERRORS & OMISSIONS

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**DISCLAIMERS:**

*Coverage is always subject to all of a policy’s terms and conditions. This is a summary of certain key aspects of coverage. Review the policy form for complete terms and conditions. Products are underwritten by U.S. insurers that are members of Argo Group. Some products are only available through an authorized surplus lines insurer.*

**Application of the coverage grant is intended to be worldwide. Insurance product(s) are not intended for distribution outside of the United States (no named insured, insureds, additional insureds, et al. located anywhere else but the U.S.).**
About Argo Pro

Argo Pro is a leading provider of professional lines insurance products and services that can accommodate medium and large organizations on an admitted and nonadmitted basis. Through a single operating platform and a robust network of appointed wholesale and retail distribution partners, Argo Pro offers a broad, customizable portfolio of errors and omissions and management liability insurance solutions. Argo Pro maintains offices in Boston; Chicago; New York; San Francisco; Scottsdale, Arizona; and Hamilton Township, New Jersey. For more information, visit argolimited.com/argo-pro.

About Argo Pro’s Claims Handling Team

Effective claims management is critical to the success of Argo Pro’s business. Our claims team includes professionals who have developed specialized claims expertise in all professional and management liability lines of business. Our claims professionals also have a variety of legal backgrounds, which is important for their effective management of claims and helps result in favorable outcomes for our insureds. Argo Pro’s focus is on providing exceptional customer service to our insureds and brokers.

About Argo Group International Holdings, Ltd.

Argo Group International Holdings, Ltd. (NYSE: ARGO) is an international underwriter of specialty insurance and reinsurance products in the property and casualty market. Argo Group offers a full line of products and services designed to meet the unique coverage and claims handling needs of businesses in two primary segments: U.S. Operations and International Operations. Argo Group’s insurance subsidiaries are A.M. Best-rated “A” (Excellent) (third highest rating out of 16 rating classifications) with a stable outlook, and Argo Group’s U.S. insurance subsidiaries are Standard and Poor’s-rated “A-” (Strong) with a positive outlook. More information on Argo Group and its subsidiaries is available at argolimited.com.
Argo Group Balance Sheet

<table>
<thead>
<tr>
<th>IN MILLIONS, EXCEPT PER-SHARE DATA</th>
<th>12/31/17</th>
<th>12/31/16</th>
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<tbody>
<tr>
<td>Total Assets</td>
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<tr>
<td>Total Shareholders’ Equity</td>
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<td>Book Value Per Share</td>
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<table>
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<tr>
<th>FINANCIAL STRENGTH RATINGS</th>
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<th></th>
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<tbody>
<tr>
<td>A.M. Best Rating</td>
<td>“A” (Excellent)</td>
<td></td>
</tr>
<tr>
<td>Standard &amp; Poor’s</td>
<td>“A-” (Strong)</td>
<td></td>
</tr>
</tbody>
</table>

Argo Group Global Presence
Argo EXECOVER℠
PUBLIC COMPANY DIRECTORS AND OFFICERS LIABILITY INSURANCE POLICY

Argo Pro’s EXECOVER policy is a primary solution designed to address the evolving risks that directors and officers of public companies are facing.

Key Coverage Highlights*

• Coverage for securities claims arising out of an environmental concern, including those involving greenhouse gases
• Coverage for derivative demand investigation costs including post-litigation special litigation committee expense
• Side A coverage for regulators’ demands for information
• Definition of loss specifically includes certain Foreign Corrupt Practices Act penalties assessed against insured persons and specifically provides that loss relating to sections 11, 12 and 15 of the Securities Act of 1933 will not be considered by Argo Group to constitute disgorgement or restitution
• Improper profit exclusion does not apply to sections 11, 12 and 15 claims under the Securities Act of 1933
• Improper profit and dishonesty exclusions require “final, non-appealable adjudication” in a proceeding not instituted by Argo Group
• Insured persons have the right to elect the extended reporting period in the event of termination or non-renewal if the entity policyholder does not make the election
• Side A coverage is not rescindable under any circumstances
• Mandatory advancement of defense costs includes insured person’s defense costs within the SIR should the insured company fail to advance such costs
• Coverage for employment practices claims against insured persons
• Express coverage for partnerships and joint ventures

To learn more please visit argolimited.com/argo-pro/product/execover-public-company
Crime & Fidelity

COMMERCIAL CRIME

Argo Pro’s Crime & Fidelity policy protects organizations from loss of money, securities or inventory resulting from crime.

Key Coverage Highlights*

Crime & Fidelity policy provides comprehensive and affordable protection for your clients, including:

- Employee theft
- Forgery or alteration
- Theft, robbery and burglary (inside the premises)
- Theft and robbery (outside the premises)
- Computer fraud
- Electronic funds transfer fraud
- Money orders and counterfeit currency

To learn more please visit argolimited.com/argo-pro/product/crime-fidelity-insurance
Directors and Officers Excess Side A DIC PROtect<sup>SM</sup>

Argo Pro’s Directors and Officers Excess Side A DIC PROtect policy provides unparalleled protection for individual Directors and Officers for D&O Claims that are nonindemnifiable and are not paid by underlying insurance. The policy provides seamless excess coverage as well as broad DIC (Difference in Conditions) coverage for a drop down event.

Key Coverage Highlights*

- Seamless follow form, only two exclusions: Conduct and Bodily Injury Property Damage (BIPD)
- Broad DIC provision:
  - Wrongful failure to indemnify
  - Fails to advance defense costs in 60 days
  - Underlying insurance financially unable to pay
  - Underlying insurance threatens to rescind
  - Underlying insurance is subject to a bankruptcy stay
- Two reinstatements included: If Argo Pro pays the full limit on a claim and there’s a new, unrelated claim in the same policy period, you get a fresh limit regarding the latter
- Argo Pro will make the payment if the underlying insurer does not provide this (Side A DIC coverage only)
- Broad definition of Insured includes estates, heirs, legal representatives, spouses and domestic partners. Additionally general partners, managing partner or functionally equivalent executives in a general partnership or LLC
- After paying the claim, Argo Pro will not subrogate against an Insured Person unless there has been a final non-appealable adjudication triggering the Conduct Exclusion
- Argo Pro will defer to the primary D&O policy to define a claim and a loss to ensure seamless coverage
- Fully non-rescindable
- The actions or behavior of one insured cannot be held against another insured

To learn more please visit argolimited.com/argo-pro/product/side-a-dic
Employment Practices Liability

Argo Pro’s Employment Practices Liability insurance policy provides standalone coverage for a company’s directors, officers and employees against claims brought by a company’s past, present and prospective employees alleging wrongful employment practices including, but not limited to, sexual harassment, discrimination, failure to promote, wrongful dismissal, negligent evaluation or wrongful deprivation of career opportunity. Additionally, coverage is provided for third-party claims for discrimination and sexual harassment.

Key Coverage Highlights*

- Definition of loss includes:
  - Punitive and exemplary damages and the multiplied portion of multiplied damages and liquidated damages under the Equal Pay Act and the Age Discrimination in Employment Act, all subject to an insured-friendly choice of law provision
  - “Sensitivity training” and opposing counsel fees awarded
- Dishonesty exclusion requires “final, non-appealable adjudication” in an action not instituted by Argo Group
- Subrogation rights limited against any insured, including the company
- Defense cost carve-out as respects the breach-of-contract exclusion
- Wage and Hour and labor law (FLSA/NLRA/WARN) exclusions do not apply to allegations of “retaliation”
- Severability of application for insured persons
- Limited imputation of individual’s knowledge to any entity as respects the application
- Express coverage for partnerships and joint ventures

To learn more please visit argolimited.com/argo-pro/product/employment-practices-liability

KEY POINTS
- Maximum capacity: $15 million

TARGET RISKS
- Companies with employee base of 50 to 10,000 employees

NONTARGET RISKS
- Employee leasing agencies, temporary help services, law firms and certain financial services organizations

SEND SUBMISSIONS TO
MLSubmissions@argogroupus.com
Fiduciary Liability

Argo Pro’s Fiduciary Liability insurance policy is designed to address the exposures that the plan sponsor and its directors, officers and employees face as fiduciaries and administrators when overseeing an organization’s pension and welfare plans.

Key Coverage Highlights*

- Definition of loss includes:
  - Punitive and exemplary damages; multiplied damages; and civil fines and penalties that are
    • Imposed by U.K.** pension regulators
    • Associated with voluntary compliance loss
    • Imposed under HIPAA privacy regulations
    • Comprised of the 5 percent and 20 percent penalties under ERISA sections 502(i) and 502(1), all subject to an insured-friendly choice of law provision
  - Severability of application for insured persons
  - Explicit coverage for the costs of an independent fiduciary retained to review a proposed settlement of a covered claim
  - Specific exception to the benefits exclusion for diminution in the value of plan assets because of a change in the value of plan investments
  - Specific exception to the BI/PD exclusion for defense costs incurred in connection with a claim for violation of employee benefits law
  - Specific exception to the discrimination exclusion for claims alleging violation of Section 510 of ERISA
  - Express coverage for partnerships, joint ventures and foreign corporate trustee

To learn more please visit argolimited.com/argo-pro/product/fiduciary-liability

KEY POINTS

- Maximum capacity: $25 million

TARGET RISKS

- All sized risks

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MLSubmissions@argogroupus.com
Argo Pro’s Not-for-Profit Playbook policy is a management liability package product offering not-for-profit organizations access to various coverages under one policy. The policy includes Directors and Officers Liability, Employment Practices Liability and Fiduciary Liability. Not-for-Profit Playbook is designed to offer management liability coverages that address the evolving insurance needs of various types of organizations including but not limited to charitable, religious, educational and health care. Each policy is customized to address each organization’s individual risk management needs.

Key Coverage Highlights*
• Express coverage for affiliates, partnerships and joint ventures
• Automatic coverage for new subsidiaries that account for less than 20 percent of the policyholder’s revenues; subsidiary coverage also includes directly controlled or sponsored foundations or charitable trusts
• 60-day post policy period window for reporting claims
• Priority of payments for the benefit of insured persons
• Standard shared limit between D&O and EPL sections, but separate limits for all sections available.

Directors and Officers Liability Coverage
• Full organization coverage
• Derivative demand investigation coverage
• Definition of loss specifically includes:
  - Punitive and exemplary damages and the multiplied portion of multiplied damages, subject to an insured-friendly choice of law provision
  - Excess benefit transaction excise tax
• Side A coverage for regulators’ demands for information
• Improper profit and dishonesty exclusions require “final, non-appealable adjudication” in a proceeding not instituted by Argo Group
• Side A coverage is not rescindable under any circumstances
Employment Practices Liability Coverage

- Definition of loss includes:
  - Punitive and exemplary damages and the multiplied portion of the multiplied damages and liquidated damages under the Equal Pay Act and the Age Discrimination in Employment Act, all subject to an insured-friendly choice of law provision
  - Claimant’s counsel fees awarded pursuant to a covered judgment or included as part of a covered settlement
- Defense costs carve-out as respects the breach of contract exclusion
- Dishonesty exclusion requires “final, non-appealable adjudication” in a proceeding not instituted by Argo Group
- Wage and Hour and FLSA/NLRA/WARN exclusions do not apply to allegations of “retaliation” fiduciary liability coverage
- Definition of loss includes:
  - Punitive and exemplary damages; multiplied damages; and civil fines and penalties that are
  - Imposed by U.K.** pension regulators;
  - Associated with voluntary compliance loss;
  - Imposed under HIPAA privacy regulations or
  - Comprised of the 5 percent and 20 percent penalties under ERISA sections 502(i) and 502(l), all subject to an insured-friendly choice of law provision

- Explicit coverage for the costs of an independent fiduciary retained to review a proposed settlement of a covered claim
- Specific exception to the benefits exclusion from loss for diminution in the value of plan assets because of a change in the value of plan investments
- Carve-out to the BI/PD exclusions for defense costs incurred in connection with a claim for violation of employee benefits law
- Specific exception to the discrimination exclusion for claims alleging violation of Section 510 of ERISA

To learn more please visit argolimited.com/argo-pro/product/not-profit-playbook
Private Company PROtect™
PROFESSIONAL LIABILITY INSURANCE WITH CYBER COVERAGE

Private Company PROtect is a management liability package offering private companies access to various types of coverage – directors and officers, employment practices, fiduciary, and cyber liability – under one policy. Private Company PROtect is flexible in allowing policyholders to purchase one or more management liability and professional liability coverage options with separate or shared limits. This approach means each policy can be customized to meet specific risk management needs.

Key Coverage Highlights*

Coverage Available
• Express coverage for partnerships and joint ventures
• Automatic coverage for new subsidiaries under management control; no asset/revenue threshold
• Post-policy reporting window:
  - 90 days for directors and officers coverage if not renewed
  - 180 days for directors and officers coverage if renewed
  - 60 days for reporting claims under other agreements
• Priority of payments for the benefit of insured persons
• Prior notice – given and accepted
• Predetermined run-off options included
• 100% defense cost allocation
• Non-rescindable
• Only knowledge of CEO/CFO imputed to the entity and other insureds
• Hammer clause deleted for all policy sections
• Insured versus insured prior service carvebacks – 2 years
• Other insurance provision: primary to private equity/personal umbrella policies
• Bodily injury property damage carveout for securities claims and Side A
• Harassment for third-party employment practices liability coverage includes both sexual and nonsexual
• Fiduciary wrongful acts include settlor

Included Under One Policy
• Directors and officers liability coverage
• Employment practices liability coverage
• Fiduciary liability coverage
• Cyber liability coverage

To learn more please visit argolimited.com/argo-pro/product/private-company-protect

KEY POINTS
• Maximum capacity: $15 million
• Minimum deductible: $10,000
• Minimum premium: $7,500

TARGET RISKS
• Middle-market to upper-middle-market private companies

SEND SUBMISSIONS TO
MLSubmissions@argogroupus.com
Accountants PROtect℠
PROFESSIONAL LIABILITY INSURANCE WITH CYBER COVERAGE

Argo Pro's Accountants PROtect policy provides protection for accounting professionals and their staff, addressing exposures associated with the performance of this exacting profession.

Key Coverage Highlights*

- Protection for claims arising from:
  - Professional liability
  - Cyber liability (including social engineering incidents)
- Coverage for the following supplemental payments:
  - Crisis management expenses
  - Appearance at proceedings
  - Disciplinary proceedings
  - Subpoena assistance
- Waiver of subrogation rights when insured agrees to prospectively waive via written contract
- “Soft” hammer clause
- Carveback for bodily injury/property damage resulting from insured's rendering of, or failure to render, professional services
- Claims provision allows for choice of counsel, with insurer’s consent
- Up to $25,000 deductible credit for voluntary mediation
- Automatic coverage for acquisitions of entities whose revenues are less than 50 percent of the named insured’s revenues
- Wrongful act includes “personal injury offense”
- Final adjudication for fraud claims
- Punitive and exemplary damages covered, where insurable
- Definition of insured includes independent contractors, interns, volunteers, spouses and domestic partners
- Extended reporting period for non-practicing accountants provided at no additional premium
- Free and unlimited risk management services include:
  - Pre-claims assistance, risk management services and engagement letter reviews

To learn more please visit
argolimited.com/argo-pro/product/accountants-protect
Architects & Engineers PROtect SM
PROFESSIONAL LIABILITY INSURANCE WITH CYBER COVERAGE

Argo Pro’s Architects & Engineers PROtect is equipped to provide coverage for a wide range of design professionals, contractors, real estate developers and more

Key Coverage Highlights*
All Argo Architects & Engineers PROtect forms include coverage to address the current needs of the modern professional involved in construction, including full limits for cyber liability and social engineering claims.

- Protection for claims arising from:
  - Professional liability
  - Cyber liability (including social engineering incidents)
  - Pollution liability
- Coverage for the following supplemental payments:
  - Crisis management expenses
  - Appearance at proceedings
  - Disciplinary proceedings
  - Subpoena assistance
  - ADA, FHA and OSHA legal expense reimbursement
  - Cleanup costs coverage
- Waiver of subrogation rights when insured agrees to prospectively waive via written contract
- No hammer clause
- Choice of counsel, with insurer’s consent
- Up to $25,000 deductible credit for voluntary mediation
- Automatic coverage for acquisitions of entities whose revenues are less than 50 percent of the named insured’s revenues
- Wrongful act includes “personal injury offense”
- Final adjudication for fraud claims
- Punitive and exemplary damages covered, where insurable
- Definition of insured includes independent contractors, interns, volunteers, spouses and domestic partners
- Project-specific excess coverage available
- Free and unlimited risk management services include:
  - Pre-claims assistance provided by Argo Claims team
  - Contract review

To learn more please visit argolimited.com/argo-pro/product/architects-and-engineers-protect

KEY POINTS
- Maximum capacity: $10 million
- Minimum deductible: $1,000
- Minimum premium: $1,400

TARGET RISKS
- Architects, interior designers, landscape architects, general contractors, artisan contractors, construction managers, land surveyors, real estate developers, design/build or design/bid/build professionals
- All types of engineers including civil, electrical, mechanical, structural and process
- Primary and excess coverage
- Practice and project-specific policies

SEND SUBMISSIONS TO eosubmissions@argoprous.com
Argo Pro’s Contractors PROtect form is designed for general contractors, artisan contractors and professionals offering construction, fabrication, installation, erection and maintenance services to their clients. The policy form provides best-in-class coverage to address the complex needs of contractors, including exposures relating to construction and pollution.

Key Coverage Highlights*
• Protection for claims arising from the following types of liability:
  - Professional
  - Cyber (including social engineering incidents)
  - Contractors pollution
• Provides coverage for supplemental payments for crisis management expenses; appearance at proceedings; disciplinary proceedings; subpoena assistance; ADA, FHA and OSHA legal expense reimbursement; and cleanup costs
• Rectification costs included
• No faulty workmanship exclusion
• Waiver of subrogation wording when insured agrees to prospectively waive via written contract
• No hammer clause
• Choice of counsel with insurer’s consent
• Up to $25,000 deductible credit for voluntary mediation
• Automatic coverage for acquisitions of entities whose revenues are less than 50 percent of the named insured’s revenues
• Wrongful act includes “personal injury offense”
• Final adjudication for fraud claims
• Punitive and exemplary damages covered, where insurable
• Definition of insured includes independent contractors, interns, volunteers, spouses and domestic partners
• Project-specific coverage available with 36-month policy term and 10-year tail
• Free and unlimited risk management services including:
  - Pre-claims assistance provided by Argo claims team
  - Contract review

To learn more, please visit argolimited.com/argo-pro/product/contractors-protect

SEND SUBMISSIONS TO eosubmissions@argoprous.com
Cyber Tech PROtect\textsuperscript{SM}

PRIVACY, SECURITY, MISCELLANEOUS PROFESSIONAL, TECHNOLOGY AND MEDIA INSURANCE POLICY

Argo Pro’s Cyber Tech PROtect offers a full spectrum of coverage solutions related to professional and technology services.

Key Coverage Highlights*

- Simplified policy form with one aggregate limit and one deductible
- First-party coverages include:
  - Forensics
  - Notification and call centers
  - Public relations
  - Credit monitoring and ID restoration and monitoring
  - Business interruption
  - Dependent business interruption
  - PCI assessment, fines and penalties
  - Regulatory fines and penalties
- No retro date for cyber coverage
- Third-party coverage
- Reward expenses paid for information leading to arrest and conviction of hacker
- Option to handle notification expenses outside the limit for a specified number of affected individuals (by endorsement)
- Claims handled in-house with additional support provided by an expert panel of cyber vendors
- Pre-notification costs coverage with panel providers (available by endorsement)
- Policy provides option to include MPL, Tech E&O and Media Liability coverage sections

To learn more please visit argolimited.com/argo-pro/product/cyber-tech-protect

KEY POINTS
- Maximum capacity: $15 million
- Minimum deductible: $10,000

TARGET RISKS
- Companies of all sizes
- Companies from any industry segment

SEND SUBMISSIONS TO eosubmissions@argoprous.com
Lawyers PROtect<sup>SM</sup>

PROFESSIONAL LIABILITY FOR LAW FIRMS ENGAGED IN PRIVATE PRACTICE

Argo Pro’s Lawyers PROtect policy provides protection to attorneys and employees of law firms addressing inherent exposures associated with the practice of law, including third-party cyber and social engineering exposures.

Key Coverage Highlights*  
- Expansive definition of professional services  
- Protection for innocent partners and automatic inclusion of contract, of counsel and former attorneys doing work on behalf of the firm  
- Coverage for prior acts available  
- Disciplinary proceeding coverage  
- Subpoena assistance coverage  
- Third-party cyber liability and social engineering claim coverage specifically included  
- Crisis management expenses  
- Personal and advertising injury  
- Equity interest coverage available for services provided to clients where the firm has up to 5 percent interest in a public company and 25 percent interest in a private company  
- 50 percent decrease in deductible for settlement via voluntary mediation within 180 days of claim  
- Insured’s consent is required to settle. 50/50 modified consent to settle clause  
- Broad definition of damages  
- High-quality claims service  
- Risk management services available for qualifying risks

To learn more please visit argolimited.com/argo-pro/product/lawyers-protect

KEY POINTS  
- Maximum capacity: $10 million per claim/$20 million aggregate  
- Minimum deductible: $5,000

TARGET RISKS  
- U.S. law firms with 10-250 attorneys (primary) or 10 and more (excess)  
- Admitted product available in most states for qualifying risks (subject to state approval)  
- Nonadmitted (surplus lines) product available for hard-to-place risks, including firms with claims issues, difficult areas of practice, disciplinary issues or unusual coverage requests  
- Will consider lead or participating quota share coverage with qualified carriers

NONTARGET RISKS  
- Firms with less than 10 attorneys  
- Firms specializing in intellectual property, securities (including IPOs), entertainment

SEND SUBMISSIONS TO  
eosubmissions@argoprous.com
Argo Pro’s Miscellaneous PROtect policy provides protection to professionals and their staff, addressing exposures associated with the performance of their business.

Key Coverage Highlights*

- Protection for claims arising from:
  - Professional liability with customized definition of professional services
  - Cyber liability (including social engineering incidents)
- Coverage for the following supplemental payments:
  - Crisis management expenses
  - Disciplinary proceedings
  - Subpoena assistance
- Coverage for “appearance at proceedings”
- Waiver of subrogation rights when insured agrees to prospectively waive via written contract
- “Soft” hammer clause
- Claims provision allows for choice of counsel, with insurer’s consent
- Up to $25,000 deductible credit for voluntary mediation
- Automatic coverage for acquisitions of entities whose revenues are less than 50 percent of the named insured’s revenues
- Wrongful act includes “personal injury offense”
- Final adjudication for fraud claims
- Punitive and exemplary damages covered, where insurable
- Definition of insured includes independent contractors, interns, volunteers, spouses and domestic partners

To learn more please visit argolimited.com/argo-pro/product/miscellaneous-protect
Real Estate Developers PROtect®
PROFESSIONAL LIABILITY INSURANCE
WITH CYBER COVERAGE

Argo Pro’s policy offers professional liability coverage for firms and people engaged in real estate development.

Key Coverage Highlights*

• Protection for claims arising from:
  - Professional liability
  - Cyber liability (including social engineering incidents)
  - Pollution liability
• Coverage for the following supplemental payments:
  - Crisis management expenses
  - Appearance at proceedings
  - Disciplinary proceedings
  - Subpoena assistance
  - ADA, FHA and OSHA legal expense reimbursement
  - Cleanup costs coverage
• Industry-leading broad definition of real estate development
• Failure to maintain insurance coverage for property management
• Rectification costs included
• No faulty workmanship exclusion
• No exclusion for claims involving financing activities
• Emergency remediation coverage
• Insured versus insured exclusion does not apply to investors
• Waiver of subrogation rights when insured agrees to prospectively waive via written contract
• No hammer clause
• Choice of counsel, with insurer’s consent
• Up to $25,000 deductible credit for voluntary mediation
• Automatic coverage for acquisitions of entities whose revenues are less than 50 percent of the named insured’s revenues
• Wrongful act includes “personal injury offense”
• Final adjudication of fraud claims
• Punitive and exemplary damages covered, where insurable
• Definition of insured includes independent contractors, interns, volunteers, spouses and domestic partners
• Project-specific excess coverage available
• Free and unlimited risk management services include:
  - Pre-claims assistance provided by Argo claims team
  - Contract review

To learn more please visit argolimited.com/argo-pro/product/real-estate-developers-protect

KEY POINTS
• Maximum capacity: $10 million
• Minimum deductible: $10,000
• Minimum premium: $1,400

TARGET RISKS
• Firms that build primary residences
• Those who acquire, renovate and maintain commercial buildings
• Those who develop land for others to build on
• Real estate investment trusts
• Solar field project developers

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eosubmissions@argoprous.com
Asset Management PROtect℠ 
MANAGEMENT AND PROFESSIONAL LIABILITY INSURANCE WITH CYBER COVERAGE

Argo Pro’s Asset Management PROtect policy is a professional liability and/or management liability package offering investment advisers access to various coverages under one admitted policy.

Key Coverage Highlights*

• Built-in third-party cyber coverage
• Entity regulatory coverage included in the definition of claim
• Pre-claim defense costs
• Cost of corrections extension built in
• Broad definition of investment advisory services
• Vicarious extension for acts of third parties
• Protection for claims arising from:
  - Investment adviser professional liability
  (including third-party cyber liability)
  - Investment adviser management liability
  - Investment fund management liability
  - Private fund liability
  - Employment practices liability
  - Fiduciary liability
• Coverage for the following:
  - Investment Advisers
  - Wealth Management Firms
  - Mutual Funds including Closed End Funds and Exchange Traded Funds
  - Hedge Funds
  - Real Estate Advisers
  - Real Estate Investment Trusts (REITs)

To learn more, please visit argolimited.com/argo-pro/product/asset-management-protect
Diversified Financial Institutions Group

Argo Pro’s financial institutions department focuses on the customized needs of various financial institutions.

Key Coverage Highlights*

Argo Pro can provide primary and excess coverage for a broad array of financial institutions including:
• Banks
• Insurance companies
• Investment advisers
• Mutual funds
• Private equity
• Venture capital
• Real estate investment managers and REITs
• Alternative financial organizations

Available coverage offerings include:
• Crime and fidelity coverage
• Directors and officers liability
• Employment practices liability
• Errors and omissions coverage
• Fiduciary liability
• Investment adviser management liability
• Investment adviser professional liability
• Investment fund management liability

To learn more please visit
argolimited.com/argo-pro/product/diversified-financial-institution

KEY POINTS
• Maximum capacity: $15 million
• No minimum attachment
• Coverage is available for public and private companies

TARGET RISKS
• E&O for all registered investment advisers including private equity and hedge fund managers
• D&O for banks, insurance companies and REITs
• Excess crime

SEND SUBMISSIONS TO
MLSubmissions@argogroupus.com
Private Equity PROtect℠
OFFERING PRIVATE EQUITY FIRMS AND PORTFOLIO COMPANIES A COMPREHENSIVE SUITE OF INSURANCE COVERAGE

Argo Pro’s Private Equity PROtect gives private equity firms and portfolio companies a comprehensive suite of insurance coverage to mitigate risks throughout the investment cycle.

Protecting Private Equity Firms
Argo Pro’s Private Equity PROtect coverage for PE firms includes general partnership, management liability and professional liability coverages that can be tailored to fit your needs.

Protecting Portfolio Companies
Private Company PROtect℠
Private Company PROtect is a management liability package product offering private companies access to various coverages under one policy: directors and officers, employment practices, fiduciary and miscellaneous professional liability.

Protecting Buyers and Sellers
   Transactional Risk Coverage
Through a partnership with BlueChip Transactional Risk, Argo Pro underwrites products including representations and warranties, tax indemnity and contingent liability insurance.

   D&O Run-Off Coverage
• Successor-in-Interest language
• Straddle claim language
• Up to 6-year run-off policy periods

To learn more, please visit argolimited.com/argo-pro/product/private-equity-protect

PRIVATE EQUITY APPETITE
Primary focus on firms of $200 million to $4 billion of deployed Assets Under Management
• Pure PE style, preferably single-fund managers
• Primarily U.S.-based assets and operations
• Lead, control investors versus co-investors
• Mature due diligence and valuation processes, control environment and limited partner reporting

SEND SUBMISSIONS TO
MLSubmissions@argogroupus.com
Argo Flex-XS<sup>SM</sup>

EXCESS FOLLOW FORM INSURANCE POLICY

Argo Pro’s Flex-XS policy offers customizable coverage structures based upon risk appetite and risk management objectives, including options for shared or separate limits. Flex-XS offers excess coverage for the following management liability and professional liability coverages:

- Accountants professional liability
- Crime and fidelity coverage
- Directors and officers liability
- Employment practices liability
- Fiduciary liability
- Lawyers’ professional
- Privacy and security (cyber)
- Technology professional
- Miscellaneous professional
- Other similar coverage types

To learn more please visit argolimited.com/argo-pro/product/argo-flex-xs-excess-follow-form

KEY POINTS

- Maximum capacity: $15 million
- $10 million when excess of accountants or lawyers form
- No minimum attachment
- Follow form over “followed policy”
- Coverage is available for public and private companies as well as not-for-profit organizations

NOTES

- Accountants professional liability requires revenue greater than $15 million
- Lawyers’ professional liability for risks greater than five attorneys and up to 500 attorneys

E&O: SEND SUBMISSIONS TO eosubmissions@argoprous.com

MANAGEMENT LIABILITY: SEND SUBMISSIONS TO MLSubmissions@argogroupus.com
# The Argo Pro Underwriting Team

## EXECUTIVE TEAM

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Craig Landi</td>
<td>President, Argo Pro</td>
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</tr>
<tr>
<td>Richard Edsall</td>
<td>SVP, Commercial Management Liability</td>
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<tr>
<td>Kim Noble</td>
<td>SVP, Underwriting</td>
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<td>Cary Nichols</td>
<td>SVP, Western Region</td>
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<td>Tom McArdle</td>
<td>SVP, Midwest Regional Manager</td>
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<td>Patrick McGinley</td>
<td>VP, Professional Liability Claims</td>
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<tr>
<td>Daniel Gmelin</td>
<td>SVP, Underwriting, Head of Architects &amp; Engineers and Miscellaneous Professional</td>
<td>212-607-8894</td>
</tr>
</tbody>
</table>

## COMMERCIAL MANAGEMENT LIABILITY

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Contact</th>
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<tbody>
<tr>
<td>Steve Scheier</td>
<td>VP, Mid-Atlantic Region Manager</td>
<td>732-623-8988</td>
</tr>
<tr>
<td>Christine Schneider</td>
<td>VP, West Region Manager</td>
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</tr>
<tr>
<td>Andrew Menger</td>
<td>VP, Underwriting, Northeast Region Manager</td>
<td>646-616-2265</td>
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## FINANCIAL INSTITUTIONS

<table>
<thead>
<tr>
<th>Name</th>
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<tbody>
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<td>Rich Fachet</td>
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<td>Justin Mele</td>
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## CYBER

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<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Jerry O'Dwyer</td>
<td>VP, East Region</td>
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<tr>
<td>Josh Navarro</td>
<td>AVP, Central Region</td>
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<tr>
<td>Mark Vella</td>
<td>VP, West Region</td>
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## ARCHITECTS & ENGINEERS/MISCELLANEOUS PROFESSIONAL

<table>
<thead>
<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Paul Davis</td>
<td>Underwriting Manager</td>
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</tbody>
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## LAWYERS’ PROFESSIONAL/ACCOUNTANTS PROFESSIONAL

<table>
<thead>
<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Thomas Camporeale</td>
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<td>201-680-7901</td>
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<tr>
<td>Tim Barrett</td>
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